Keceipt #18



I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231, on the date below:

June 28, 2002 Date

Steven 4 Highlander

PATENT

LULY AND SON



In re Application of:

Bethany A. Janowski David John Mangelsdorf

Serial No.: 09/603,131

Filed: June 23, 2000

For: NOVEL OXY-STEROL LIGANDS FOR

THE LXR RECEPTOR AND USES

THEREOF

Group Art Unit: 1646

Examiner: Unknown

Atty. Dkt. No.: UTSD:578USC2

OPY OF PAPERS ORIGINALLY FILED

REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents Washington, D.C. 20231

Sir:

A corrected filing receipt is hereby requested in view of the error which appears in the original. For the convenience of the Patent and Trademark Office, attached is a photocopy of the original receipt on which the error has been noted in red.

There is an error in David John Mangelsdorf's address. Please delete "Ducanville, TX" and insert -**Duncanville**, **TX-**. In support of this requested correction, Applicants attach hereto a copy of the inventors' Declaration filed June 23, 2000.

No fee is believed to be due in connection with the filing of this document. However, should any fee under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to

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this 'document, the Commissioner is hereby authorized to deduct said fee from Fulbright & Jaworski Deposit Account No.: 50-1212/10020402/SLH.

Please date stamp and return the enclosed postcard evidencing receipt of these materials.

Respectfully submitted,

Steven L. Highlander

Reg. No. 37,642 Attorney for Applicants

FULBRIGHT & JAWORSKI L.L.P. 600 Congress Avenue, Suite 2400 Austin, Texas 78701 (512) 474-5201

Date:

June 28, 2002



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APPL	ICAT	ION	NUME	ΙE	R
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FILING DATE

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DRAWINGS

TOT CLAIMS

IND CLAIMS

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Richard A Nakashima Fulbright & Jaworski LLP 600 Congress Avenue Suite 2400 Austin, TX 78701 RECEIVED
Date(s) Docketed: Filing defails
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MAR 1 3 2002

Client: UTSD: 578USCZ

Attorney(s): DLP/SLH
Initials: 33

CONFIRMATION NO. 3524
CORRECTED FILING RECEIPT

OCC000000007546274*

Date Mailed: 02/27/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Bethany A. Janowski, Dallas, TX;
David John Mangelsdorf, Bucanville, TX;
Dun Conville

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CON OF 08/943,936 09/26/1997 ABN WHICH CLAIMS BENEFIT OF 60/026,796 09/27/1996

Foreign Applications

If Required, Foreign Filing License Granted 09/13/2000

Projected Publication Date: Not Applicable, filed prior to November 29,2000

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

Title

Novel oxy-sterol ligands for the LXR receptor and uses thereof

Preliminary Class

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JUL 2.4 2002
TECHCENTER 1600/2900

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NOT GRANTED

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FROM : McGREGORSADLER, P. C.

PHONE NO. :

Sep. 25 1997 03:08PM

DOCKET NO: D5906

COMBINED DECLARATION AND POWER OF ATTORNEY

I, David John Mangelsdorf hereby declare that:

My residence, post office address and citizenship are as stated below next to my name, I believe I am the original, first and co-inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled, Novel Oxy-Sterol Ligands For The LXR Receptor And Uses Thereof; the specification of which is attached hereto.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose all information I know to be material to patentability in accordance with Title 37, Code of Federal Regulations, §1.56(a).

I hereby appoint the following attorneys and/or agents to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith: Dr. Benjamin Adler, Registration No. 35,423 and Sarah J. Brashears, Registration No. 38,087. Address all telephone calls to Dr. Benjamin Adler at telephone number 713/777-2321. Address correspondence to Dr. Benjamin Adler, McGREGOR & ADLER, P.C., 8011 Candle Lane, Houston, TX 77071.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patents issued thereon.

Full Name of Inventor: David John Mangelsdorf
Inventor's Signature: Sound blan Nangal Salar Bate: 9-25-97
Inventor's Signature: Signature: 9-25-97 Residence Address: 1446 Cardinal Creek Drive
Citizen of: United States
Post Office Address: Duncanville, TX 75137

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FROM : McGREGOR&ADLER, P. C.

PHONE NO. :

85968

Sep. 25 1997 03:09PM P.

DOCKET NO: D5906

COMBINED DECLARATION AND POWER OF ATTORNEY

I, Bethany A. Janowski hereby declare that:

My residence, post office address and citizenship are as stated below next to my name, I believe I am the original, first and co-inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled, Novel Oxy-Sterol Ligands For The LXR Receptor And Uses Thereof; the specification of which is attached hereto.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose all information I know to be material to patentability in accordance with Title 37, Code of Federal Regulations, §1.56(a).

I hereby appoint the following attorneys and/or agents to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith: Dr. Benjamin Adler, Registration No. 35,423 and Sarah J. Brashears, Registration No. 38,087. Address all telephone calls to Dr. Benjamin Adler at telephone number 713/777-2321. Address correspondence to Dr. Benjamin Adler, McGREGOR & ADLER, P.C., 8011 Candle Lane, Houston, TX 77071.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patents issued thereon.

Full Name of Inventor: Bethany A. Janowski

Inventor's Signature: Betraya Garanle Date: 9/35/97

Residence Address: 3100 Cole Ave., Apt. #205

Citizen of: United States

Post Office Address: Dallas, TX 75204



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CONFIRMATION NO. 3524

Bib Data Sheet FILING DATE ATTORNEY GROUP ART UNIT CLASS SERIAL NUMBER 06/23/2000 DOCKET NO. 435 1646 09/603,131 UTSD:578USC2/RAN **RULE** APPLICANTS Bethany A. Janowski, Dallas, TX; David John Mangelsdorf, Duncanville, TX; ** CONTINUING DATA **************** THIS APPLICATION IS A CON OF 08/943,936 09/26/1997 ABN WHICH CLAIMS BENEFIT OF 60/026,796 09/27/1996 ** FOREIGN APPLICATIONS ************ IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** SMALL ENTITY ** ** 09/13/2000 Foreign Priority claimed yes no STATE OR SHEETS TOTAL INDEPENDENT 35 USC 119 (a-d) conditions yes no Met after COUNTRY **DRAWING** CLAIMS **CLAIMS** met Allowance TX 5 15 3 Verified and Examiner's Signature Initials Acknowledged **ADDRESS** Richard A Nakashima Fulbright & Jaworski LLP 600 Congress Avenue Suite 2400 Austin ,TX 78701 TITLE Novel oxy-sterol ligands for the LXR receptor and uses thereof All Fees 1.16 Fees (Filing) ☐ 1.17 Fees (Processing Ext. of FEES: Authority has been given in Paper FILING FEE No. _____ to charge/credit DEPOSIT ACCOUNT ltime) **RECEIVED** No. _____ for following: 345 ☐ 1.18 Fees (Issue) Other Credit